IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GA4/1742 SA

COPYOFPAPERS ORIGINALLY FILED

In re application of Albert Rabinovich and William J. Ward, Jr.

Filed: November 29, 2001

Serial No.: 09/683/185

Title: Method and Apparatus for Heat Treating Material

Assistant Commissioner for Patents Washington, D.C. 20231

Sfr:

Docket No.: EH-10417

Group Art Unit: 1742

Examiner: net yet assign

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

[X] 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application (other than a CPA under 37 C.F.R. 1.53(d)), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 C.F.R. 1.114, whichever event occurs last. No certification or fees are required.

[] 37 C.F.R. 1.97(c) - This information disclosure statement is filed before the mailing date of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. Box (1) or (2) under **CERTIFICATION AND FEE** has been marked accordingly.

[] 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the period specified in 37 C.F.R. 1.97(c), but on or before payment of the issue fee. Box (1) and (2) under **CERTIFICATION AND FEE** have been marked accordingly.

2002



CERTIFICATION AND FEE

(1) [] <u>37 C.F.R. 1.97(e) Certification</u> – Either:

[] Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

[] No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

(2) [] The \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 21-0279, Order No. EH-10417 (a duplicate copy hereof is enclosed).

COPY OF PAPERS ORIGINALLY FILED

Respectfully submitted,

Brian J. Hamilla

 $\mathbf{B}\mathbf{y}$

Registration No. 38,482

PECEIVED

MAR 1 9 2002

1 C 1/UO

East Hartford, CT 06108 860.557.1089

400 Main Street Mail Stop 132-13

Date: March 5, 2002

Pratt & Whitney, Patent Department